

TEL 703 • 714 • 7400
FAX 703 • 714 • 7410

ROBIN C. CLARK
DIRECT DIAL: 703-714-7554
EMAIL: RCLARK@HUNTON.COM
File No: 56162.000311

Attorney Docket Number: **56162.000311**

First Named Inventor: **Andrew Lloyd JACKSON**

Title: **DEVICE AND METHOD FOR FILTERING NETWORK TRAFFIC**

TO: **BOX PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization
- ☐ Statement Enclosed
- ☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 16 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	15	20	0	\$ 18.00	\$ 9.00	\$0.00
Independent Claims	2	3	0	\$ 84.00	\$ 42.00	\$0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$0.00
BASIC FEE				\$ 740.00	\$ 370.00	\$740.00
TOTAL FILING FEE						\$740.00
ASSIGNMENT FEE						\$40.00
TOTAL AMOUNT ENCLOSED						\$780.00

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 08-01-2001 BY 60322 UCBAW

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4. ☒ Drawings - Total Sheets: 4 (Fig(s). 1-4)
5. Oath or Declaration - Total Pages: 2
 - a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(s):
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (two copies); or
 - ii. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statements verifying identity of above copies
9. ☒ Assignment and Assignment Recordation Form
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
☐ Copies of Information Disclosure Statement Citations

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13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Foreign Priority is Claimed as Follows:

- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: _____
18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____
- Prior application information: Examiner _____ Group Art Unit _____
- ☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No.: _____
filed _____



BOX PATENT APPLICATION

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19. Please address all correspondence to:



CUSTOMER NUMBER

21967



Kevin T. Duncan, Esq.

Intellectual Property Department

Hunton & Williams

1900 K Street, N.W.

Suite 1200

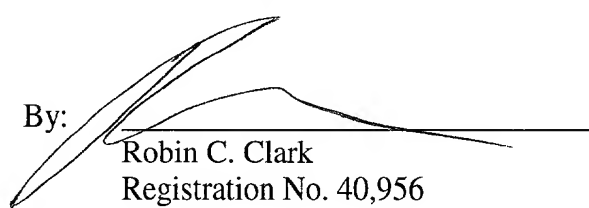
Washington, DC 20006-1109

20. ☒

A check in the amount of \$ **780.00** is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:



Robin C. Clark

Registration No. 40,956

RCC/kmj
Enclosures

51131 U.S. PTO
12/31/01

FEE TRANSMITTAL

BOX PATENT APPLICATION

Complete If Known

Application No.

New Utility

Filing Date

Dec. 31, 2001

First Named Inventor

Andrew Lloyd JACKSON

Examiner Name

Group Art Unit

Total Amount Of Payment (\$)**780.00**

Attorney Docket No.

56162.000311

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to **Deposit Account No. 50-0206** in the name of Hunton & Williams.

2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206** in the name of Hunton & Williams, 1900 K Street, N.W., Suite 1200, Washington, D.C. 20006-1109.

FEE CALCULATION

1. **BASIC FILING FEE** ☒ Large Entity ☐ Small Entity

FEE PAID

Utility Filing Fee	\$	740.00
Design Filing Fee	\$	
Plant Filing Fee	\$	
Reissue Filing Fee	\$	
Provisional Filing Fee	\$	

3. ADDITIONAL FEES

Fee Description	Fee Paid
<input type="checkbox"/> Surcharge - late filing fee or oath	\$
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$
<input type="checkbox"/> _____ Month Extension of Time	\$
<input type="checkbox"/> Notice of Appeal	\$
<input type="checkbox"/> Filing Brief in Support of Appeal	\$
<input type="checkbox"/> Request for Oral Hearing	\$
<input type="checkbox"/> Utility Issue Fee (or Reissue)	\$
<input type="checkbox"/> Design Issue Fee	\$
<input type="checkbox"/> Plant Issue Fee	\$
<input type="checkbox"/> Petition to Commissioner	\$
<input type="checkbox"/> Petition to Revive (Unavoidable)	\$
<input type="checkbox"/> Petition to Revive (Unintentional)	\$
<input type="checkbox"/> Petitions Related to Provisional Applications	\$
<input type="checkbox"/> Submission of Information Disclosure Statement	\$
<input type="checkbox"/> Filing Submission After Final Rejection	\$
<input checked="" type="checkbox"/> Recording Each Patent Assignment Per Property	\$ \$40.00
<input type="checkbox"/> Filing Request for Reexamination	\$
<input type="checkbox"/> Other (specify) _____	\$

2. EXTRA CLAIMS FEES

CLAIMS AS AMENDED

For	Number Present	Highest Number Paid For	Extra	Rate		Amount
				Large Entity	Small Entity	
TOTAL CLAIMS	15	20	0	x \$ 18.00	x \$ 9.00	\$ 0.00
INDEPENDENT CLAIMS	2	3	0	x \$ 84.00	x \$ 42.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$ 0.00
TOTAL EXTRA CLAIMS FEES						\$ 0.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Robin C. Clark

Registration No.

40,956

Signature

Date

Dec. 31, 2001